

**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
**CHA920010022US1**

In Re Application of **Lacan et al.**

Serial No.  
**09/988,320**

Filing Date  
**11/16/2001 (new)**

Examiner

Group Art Unit  
**2131**

Title: **DATA MANAGEMENT SYSTEM AND METHOD**

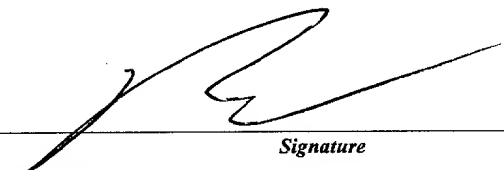
TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is:

- ☒ Signed Declaration and Power of Attorney in 4 pages
- ☒ The Claims in 7 pages
- ☒ Notice to File Missing Parts
- ☒ Acknowledgment Postcard

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. **09/0469 (IBM)** as described below. A duplicate copy of this sheet is enclosed.
  - ☒ Charge the amount of **\$130.00**
  - ☒ Credit any overpayment.
  - ☒ Charge any additional fee required.

  
\_\_\_\_\_  
Signature

Dated: **December 7, 2001**

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I certify that this document and fee is being deposited on **12/07/2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

**Wendy E. Thompson**

Typed or Printed Name of Person Mailing Correspondence

CC:



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Page 1 of 1  
Sector  
#3  
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/988,320	11/19/2001	Francis M. Lacan	CHA920010022US1

23550  
HOFFMAN WARNICK & D'ALESSANDRO, LLC  
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ALBANY, NY 12207

CONFIRMATION NO. 8419

FORMALITIES LETTER



\*OC000000007145041\*

Date Mailed: 12/03/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- The Claim(s) commencing on a separate sheet (37 CFR 1.75(h)).

*A copy of this notice **MUST** be returned with the reply.*

*Clud*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/14/2002 AGUITOM 00000315 090465 09988320  
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